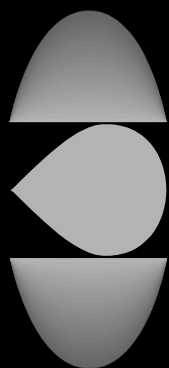




# **RISK MANAGEMENT PROGRAM GUIDANCE FOR WASTEWATER TREATMENT PLANTS (40 CFR PART 68)**



**RMP SERIES**



This document provides guidance to help owners and operators of wastewater treatment plants to determine if their processes are subject to chemical accident prevention regulation under section 112(r) of the Clean Air Act and 40 CFR part 68 and to comply with regulations. This document does not substitute for EPA's regulations, nor is it a regulation itself. Thus, it cannot impose legally binding requirements on EPA, states, or the regulated community, and may not apply to a particular situation based upon circumstances. EPA may change this guidance in the future, as appropriate.

October 27, 1998

# TABLE OF CONTENTS

## INTRODUCTION

## CHAPTER 1 GENERAL APPLICABILITY

1.1	Introduction	1-1
1.2	General Provisions	1-3
1.3	Regulated Substances and Thresholds	1-4
1.4	What is a Process	1-5
1.5	Threshold Quantity in a Process	1-10
1.6	Stationary Source	1-17
1.7	When You Must Comply	1-18

## CHAPTER 2 APPLICABILITY OF PROGRAM LEVELS

2.1	What Are Program Levels	2-1
2.2	POTW Program Levels	2-3
2.3	Program 1	2-5
2.4	Quick Rules for Determining Program 1 Eligibility	2-11
2.5	Program 3	2-13
2.6	Program 2	2-14
2.7	Dealing with Program Levels	2-16
2.8	Summary of Program Requirements	2-18

## CHAPTER 3 FIVE-YEAR ACCIDENT HISTORY

3.1	What Accidents Must Be Reported	3-1
3.2	What Data Must Be Provided	3-1
3.3	Other Accident Reporting Requirements	3-10

## CHAPTER 4 OFFSITE CONSEQUENCE ANALYSIS

4.1	Worst-Case Release Scenarios	4-3
4.2	Alternative Release Scenarios	4-20
4.3	Buildings	4-40
4.4	Estimating Offsite Receptors	4-45
4.5	Documentation	4-47
4.8	Symbols for Chapter 4	4-48
	Appendix 4A Equations	4-59
	Appendix 4B Limitations of Results	4-65

## CHAPTER 5 MANAGEMENT SYSTEM

5.1	General Information	5-1
5.2	How to Meet the Management System Requirements	5-1

## CHAPTER 6 PREVENTION PROGRAM (PROGRAM 2)

6.1	About the Program 2 Prevention Program	6-1
6.2	Safety Information (§ 68.48)	6-1
6.3	Hazard Review (§ 68.50)	6-9
6.4	Operating Procedures (§ 68.52)	6-14
6.5	Training (§ 68.54)	6-18
6.6	Maintenance (§ 68.56)	6-21
6.7	Compliance Audits (§ 68.58)	6-26
6.8	Incident Investigation (§ 68.60)	6-28
6.9	Conclusion	6-31
	Appendix 6A Checklists	6-33

## CHAPTER 7 PREVENTION PROGRAM (PROGRAM 3)

7.1	Prevention Program 3 and OSHA PSM	7-1
7.2	Process Safety Information (§ 68.65)	7-3
7.3	Process Hazard Analysis (§ 68.67)	7-7
7.4	Operating Procedures (§ 68.69)	7-10
7.5	Training (§ 68.71)	7-12
7.6	Mechanical Integrity (§ 68.73)	7-13
7.7	Management of Change (§ 68.75)	7-17
7.8	Pre-Startup Review (§ 68.77)	7-18
7.9	Compliance Audits (§ 68.79)	7-19
7.10	Incident Investigation (§ 68.81)	7-20
7.11	Employee Participation (§ 68.83)	7-21
7.12	Hot Work Permits (§ 68.85)	7-21
7.13	Contractors (§ 68.87)	7-22
	Appendix 7-A PHA Techniques	7-24

## CHAPTER 8 EMERGENCY RESPONSE

8.1	Non-Responding Sources	8-1
8.2	Elements of an Emergency Response Program	8-3
8.3	Developing an Emergency Response Program	8-6
8.4	Integration of Existing Program	8-9
8.5	Have I Met Part 68 Requirements	8-11

## 8.6 Coordination with Local Emergency Planning Committees

8-16

## CHAPTER 9 RISK MANAGEMENT PLAN

9.1	Elements of the RMP	9-1
9.2	RMP Submission	9-2
9.3	Resubmission and Updates	9-3

## CHAPTER 10 IMPLEMENTATION

10.1	Implementing Agency	10-1
10.2	Reviews/Audits/Inspections	10-2
10.3	Relationship with Title V Permit Programs	10-4
10.4	Penalties for Non-Compliance	10-4

## CHAPTER 11 COMMUNICATION WITH THE PUBLIC

11.1	Basic Rules of Risk Communication	11-1
11.2	Sample Questions for Communicating with the Public	11-4
11.3	Communication Activities and Techniques	11-13
11.4	For More Information	11-19

## APPENDICES

APPENDIX A	PART 68
APPENDIX B	Reserved
APPENDIX C	EPA REGIONAL CONTACTS
APPENDIX D	OSHA CONTACTS
APPENDIX E	TECHNICAL ASSISTANCE
APPENDIX F	OSHA GUIDANCE ON PSM

## **LIST OF BOXES AND EXHIBITS IN RMP GUIDANCE**

### **LIST OF EXHIBITS**

#### **CHAPTER 1**

- Exhibit 1-1 Evaluate Facility to Identify Covered Processes
- Exhibit 1-2 Process
- Exhibit 1-3 Stationary Source

#### **CHAPTER 2**

- Exhibit 2-1 Evaluate Program Levels for Covered Processes
- Exhibit 2-2 Federal OSHA States
- Exhibit 2-3 States with Delegated OSHA Programs
- Exhibit 2-4 Program Level Criteria
- Exhibit 2-5 Develop Risk Management Program and RMP
- Exhibit 2-6 Comparison of Program Requirements

#### **CHAPTER 3**

- Exhibit 3-1 Atmospheric Stability Classes

#### **CHAPTER 4**

- Exhibit 4-1 Steps for an Offsite Consequence Analysis
- Exhibit 4-2 Required Parameters for Modeling
- Exhibit 4-3 Distances to Toxic Endpoint — Chlorine
- Exhibit 4-4 Distances to Toxic Endpoint for Chlorine
- Exhibit 4-5 Distances to Toxic Endpoint — Sulfur Dioxide
- Exhibit 4-6 Distances to Toxic Endpoint for Sulfur Dioxide
- Exhibit 4-7 Distances to Toxic Endpoint for Anhydrous Ammonia Liquefied Under Pressure
- Exhibit 4-8 Ratio of Vapor Pressure of Ammonia in 30% Aqueous Ammonia
- Exhibit 4-9 Distances to Toxic Endpoint for Aqueous Ammonia
- Exhibit 4-10 Distance to Overpressure Endpoint of 1 psi for Vapor Cloud Explosions of Methane
- Exhibit 4-11 Distance to Overpressure Endpoint of 1 psi for Vapor Cloud Explosions of Propane
- Exhibit 4-12 Distances to Toxic Endpoint for Chlorine
- Exhibit 4-13 Release Rates and Distance to the Endpoint for Liquid Chlorine Releases
- Exhibit 4-14 Release Rates for Two-Phase Releases from Pipes Carrying Liquid Chlorine
- Exhibit 4-15 Release Rates and Distance to the Endpoint for Chlorine Vapor Releases
- Exhibit 4-16 Release Rates and Distance to the Endpoint for Liquid Sulfur Dioxide Releases
- Exhibit 4-17 Distances to Toxic Endpoints for Sulfur Dioxide
- Exhibit 4-18 Release Rates for Two-Phase Releases from Pipes Carrying Liquid Sulfur Dioxide
- Exhibit 4-19 Release Rates and Distance to the Endpoint for Sulfur Dioxide Vapor Releases
- Exhibit 4-20 Release Rates and Distance to the Endpoint for Liquid Ammonia Releases
- Exhibit 4-21 Distances to Toxic Endpoint for Anhydrous Ammonia
- Exhibit 4-22 Distances to Toxic Endpoint for Aqueous Ammonia
  
- Exhibit 4-23 Distance to Overpressure Endpoint of 1 psi for Vapor Cloud Explosions of Propane



Exhibit 4-24	Distance at Which Exposure to a Propane BLEVE Could Lead to Second-Degree Burns
Exhibit 4-25	Neutrally Buoyant Plume Distances to LFL (rural)
Exhibit 4-26	Neutrally Buoyant Plume Distances to LFL (urban)
Exhibit 4-27	Ten-Minute Building Release Attenuation Factors for Prolonged Releases of Anhydrous Ammonia
Exhibit 4-28	Ten-Minute Building Release Attenuation Factors for Prolonged Releases of Chlorine and Sulfur Dioxide
Figure 4-1	Worst-Case Scenario — Predicted Distances to Toxic Endpoint for Chlorine
Figure 4-2	Worst-Case Scenario — Predicted Distances to Toxic Endpoint for Sulfur Dioxide
Figure 4-3	Worst-Case Scenario — Predicted Distances to Toxic Endpoint for Anhydrous Ammonia
Figure 4-4	Worst-Case Scenario — Predicted Distances to Toxic Endpoint for Aqueous Ammonia
Figure 4-5	Alternative Case Scenario — Predicted Distances to Toxic Endpoint for Chlorine
Figure 4-6	Alternative Case Scenario — Predicted Distances to Toxic Endpoint for Sulfur Dioxide
Figure 4-7	Alternative Case Scenario — Predicted Distances to Toxic Endpoint for Anhydrous Ammonia
Figure 4-8	Alternative Case Scenario — Predicted Distances to Toxic Endpoint for Aqueous Ammonia
Figure 4-9	Guidance on Effectiveness of Building Mitigation for Alternative Scenarios
Figure 4-10	Simplified Presentation of Worst Case and Alternative Scenario on a Local Map

## **CHAPTER 5**

Exhibit 5-1	Sample Management Documentation
-------------	---------------------------------

## **CHAPTER 6**

Exhibit 6-1	Summary of Program 2 Prevention Program
Exhibit 6-2	Safety Information Requirements
Exhibit 6-3	Codes and Standards
Exhibit 6-4	Sample Safety Information Sheet
Exhibit 6-5	Hazard Review Requirements
Exhibit 6-6	Operating Procedures Requirements
Exhibit 6-7	Training Chart
Exhibit 6-8	Maintenance Guidelines
Exhibit 6-9	Sample Audit Checklist for Safety Information and Hazard Review
Exhibit 6-10	Incident Investigation Requirements
Exhibit 6-11	Sample Incident Investigation Format

## **CHAPTER 7**

Exhibit 7-1	Comparable EPA and OSHA Terms
Exhibit 7-2	Summary of Program 3 Prevention Program
Exhibit 7-3	Process Safety Information Requirements
Exhibit 7-4	Process Hazard Analysis Requirements
Exhibit 7-5	Operating Procedures Requirements
Exhibit 7-6	Mechanical Integrity Chart
Exhibit 7-7	Management of Change Requirements
Exhibit 7-8	Pre-startup Review Requirements
Exhibit 7-9	Incident Investigation Requirements

Exhibit 7-10	Employee Participation Requirements
Exhibit 7-11	Hot Work Permits Requirements
Exhibit 7-12	Contractors Chart
Exhibit 7a-1	Applicability of PHA Techniques
Exhibit 7a-2	Time and Staffing for PHA Techniques

## **CHAPTER 8**

Exhibit 8-1	Federal Guidance on Emergency Planning and Response
Exhibit 8-2	Federal Emergency Planning Regulations
Exhibit 8-3	Integrated Contingency Plan Outline

## **CHAPTER 9**

Exhibit 9-1	RMP Updates
-------------	-------------

## **CHAPTER 11**

Exhibit 11-1	Seven Cardinal Rules of Risk Communication
--------------	--

**LIST OF BOXES****INTRODUCTION**

Guidance for Industry-specific Risk Management Programs

State Programs

If You Are New to Regulations

What Is a Local Emergency Planning Committee?

**CHAPTER 1**

State Programs

Qs and As: Stationary Source

Qs and As: Process

Aggregation of Substances

Q and A: Changing Inventories

Qs and As: Compliance Dates

**CHAPTER 2**

Q and A: Process and Program Level

Qs and As: Public Receptors

Q and A: Determining Distances

Q and A: Environmental Receptors

Qs and As: Accident History

Qs and As: OSHA

**CHAPTER 3**

Qs and As: Property Damage

Qs and As: Accident History

**CHAPTER 4**

RMP\*Comp

Qs and As: Worst-case and Mitigation

How to Obtain Census Data and LandView

How to Obtain USGS Maps

**CHAPTER 6**

Q and A: Maintenance

Q and A: Audits

**CHAPTER 7**

Qs and As: Implementation and Program Level

Qs and As: Process Safety Information

Qs and As: Offsite Consequences

## **CHAPTER 8**

What Is a Response

What Is a Local Emergency Planning Committee?

How Does the Emergency Response Program Apply?

Planning for Flammable Substances

## **CHAPTER 9**

Q and A: Revising a PHA

## **CHAPTER 10**

Qs and As: Delegation

Qs and As: Audits

## **CHAPTER 11**

What Does Your Worst-case Distance Mean?

What Does It Mean That We Could Be Exposed If We Live/Work/Shop/Go to School X Miles Away?

If There Is An Accident, Will Everyone Within That Distance Be Hurt? What About Property Damage?

How Sure Are You of Your Distances?

Why Do You Need to Store So Much on Site?

What Are You Doing to Prevent Releases?

What Are You Doing to Prepare for Releases?

Do You Need to Use this Chemical?

Why Are Your Distances Different from the Distances in the EPA Lookup Tables?

How Likely Are the Worst-case and Alternative Release Scenarios?

Is the Worst-case Release You Reported Really the Worst Accident You Can Have?

What about the Accident at the [Name of Similar Facility] That Happened Last Month?

What Actions Have You Taken to Involve the Community in Your Accident Prevention and Emergency Planning Efforts?

Can We See the Documentation You Keep on Site?